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SHIGA7.029APC

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hojo et al.
Appl. No. : 10/553,083
Filed : October 11, 2005
For : POSITIVE RESIST
COMPOSITION AND METHOD
OF FORMATION OF RESIST
PATTERN
Examiner : Unassigned
Group Art Unit: Unknown

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Total number of pages including this sheet: 7

Neil S. Bartfield, Ph.D., Reg. No. 39,901

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Office of Initial Patent Examination
Customer Service Center
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the proper foreign application number (JP 2003-125242). Presently, the Filing Receipt incorrectly shows the foreign application number as JP 2003-12542. Also enclosed is a copy of the Preliminary Amendment filed on October 11, 2005.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: October 19, 2006

By: 

Neil S. Bartfield, Ph.D.

Registration No. 39,901

Agent of Record

Customer No. 20,995

(619) 235-8550

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DEA/NSB

Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,083	10/11/2006	17.14	900	SHIGA7.029APC		11	1

CONFIRMATION NO. 2712

20995

KNOBBE MARTENS OLSON & BEAR LLP
2040 MAIN STREET
FOURTEENTH FLOOR
IRVINE, CA 92614

FILING RECEIPT

OC000000019725794

Date Mailed: 07/24/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takuma Hojo, Kawasaki-shi, JAPAN;
Kiyoshi Ishikawa, Kawasaki-shi, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 20995.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/05402 04/15/2004

Foreign Applications

JAPAN 200312542 04/30/2003

Projected Publication Date: 11/02/2006

Non-Publication Request: No

Early Publication Request: No

Title

Positive resist composition and method of formation of resist patterns

Preliminary Class

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003/007

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PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce Initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15

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PATENT

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Applicant	:	Hojo et al.
Appl. No.	:	Unknown
Filed	:	Herewith
For	:	POSITIVE RESIST COMPOSITION AND METHOD OF FORMATION OF RESIST PATTERNS
Examiner	:	Unknown
Group Art Unit	:	Unknown

PRELIMINARY AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination, please amend the above referenced 371 U.S. national phase application filed herewith as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks/Arguments begin on page 3 of this paper.

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Appl. No. : Unknown
Filed : Herewith

AMENDMENTS TO THE SPECIFICATION

Immediately after the title of the specification on page 1, please insert the following paragraph:

— This application is the U.S. National Phase under 35 U.S.C. §371 of International Application PCT/JP2004/005402, filed April 15, 2004, which claims priority to Japanese Patent Application No. P2003-125242, filed April 30, 2003. The International Application was published under PCT Article 21(2) in a language other than English. --

On page 23, line 18, please correct as indicated:

example 1 and the resin (A3) in the example ~~3~~ 5 (molar ratio 20:80) as the

On page 24, line 16, please correct as indicated:

example ~~2~~ 3 and the resin (A3) obtained in the example ~~3~~ 5 (molar ratio 50:50) as the

Appl. No. : Unknown
Filed : Herewith

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REMARKS

The Specification has been amended to include as claim to priority. Page 23, line 18 and Page 24, line 16 have been amended to correct the example numbers referred to. These amendments are supported throughout the specification, for example: resih (A3) is obtained in example 5 (page 22, line 11), not in example 3; and resin (A2) was obtained in example 3 (page 21, line 4), not in example 2. No new matter has been added.

Should there be any questions concerning this application, the Examiner is respectfully invited to contact the undersigned at the telephone number appearing below.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11 Oct. 2005

By: Daniel Altman
Daniel E. Altman
Registration No. 34,115
Attorney of Record
Customer No. 20,995
(949) 760-0404

AMEND

1981285
101005